

Audit Committee

Supplementary Information



Date: Tuesday, 8 March 2022

Time: 2.00 pm

Venue: The Council Chamber - City Hall, College Green, Bristol, BS1 5TR

7. Work Programme

To note the work programme.

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Date: Wednesday, 02 March 2022



**AUDIT COMMITTEE
WORK PROGRAMME 2021/22**

| Meeting Date | Assurance Source | Report Details | Routine Work Programme/ Other? | ToR Ref | Officer Providing Report |
|--------------------------------|--|--|--------------------------------|---|---|
| 24th June 2021 2:00PM | External Audit | External Audit Progress Report | Routine | 1.8/1.9 | External Audit Lead |
| | Internal Audit | Internal Audit Annual Report | Routine | 1.4 | Chief Internal Auditor |
| | | Annual Fraud Report 2019/20 | Routine | 2.4/2.10 | Chief Internal Auditor |
| | Other | Audit Committee Terms of Reference | Routine | - | Director of Legal and Democratic Services |
| External Inspections Update | | Routine | 1.12 | Head of Executive Office | |
| Access to Information | | Other | - | Director of Legal and Democratic Services | |
| 26th July 2021 3:00PM | External Audit: | Progress Report | Routine | 1.8/1.9 | External Audit Lead |
| | Finance: | Draft Statement of Accounts 2020/21 | Routine | 3.1 | Executive Director Resources / Director Finance |
| | Internal Audit: | Draft Annual Governance Statement 2020/21 | Routine | 2.5/4.4 | Chief Internal Auditor |
| | | Audit Committee Annual Report to Full Council (Draft) | Routine | 5.1 | Chief Internal Auditor |
| | | Internal Audit Activity Report - including summary audit reports: - IT Transformation Programme - Closure Phase - Capital Governance - Affordable Housing Supply - Grant Allocation | Routine | 1.5/1.6/1.7 | Chief Internal Auditor |
| | Risk & Insurance | Corporate Risk Report (Q4) | Routine | 4.1/4.3 | Risk & Insurance Manager |
| | | Review of Specific Corporate Risk -CRR18: Failure to deliver enough homes to meet the City's needs. | Routine | 4.3 | Executive Director: Growth and Regeneration |
| Other | Bristol Holding - Audit and Risk Committee Assurance | Routine | 4.4 | Bristol Holding Ltd | |
| 2nd August 2021 2:00PM | | Provisional Date to be Held | | | |
| | | | | | |
| 27th September 2021 2:00 PM | External Audit: | Report concerning Governance arrangements for Bristol Energy | Routine | 1.8/1.9 | External Audit Lead |
| | Finance: | Treasury Management - Annual Report 2020/21 | Routine | 3.3 | Director of Finance |
| | | External Auditor Appointment Process Assurance on the monitoring oversight of capital delivery | Routine New | 1.11 | Director of Finance |

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|-------------------------------|--------------------|--|--|--|--|
| | Internal Audit | Internal Audit Activity Report - including summary audit reports: - Risk Management - Cyber Security - Health and Safety (Chasm Process) | Routine | 1.5/1.6/1.7 | Chief Internal Auditor |
| | Risk Management: | SIRO Assurance Report Risk Management Annual Report 2020/21 Corporate Risk Report (Q1) | New New Routine | 4.1/4.3 4.1/4.3 4.1/4.3 | Senior Information Risk Owner Risk and Insurance Manager. Risk & Insurance Manager |
| | Customer Relations | Annual Report of Local Government and Social Care Ombudsman Decisions | Routine | 1.12 | Customer Relations Manager |
| 23rd November 2021 2:00 PM | External Audit: | External Audit Plan | Routine | 1.8/1.9/3.2 | External Audit Lead |
| | Finance: | Treasury Management Mid-Year Report Progress report on the implementation of the VFM Management Actions Debt Management Policy Debt Current State Assessment Report | Routine New New New | 3.3 | Director - Finance Director - Finance |
| | Internal Audit: | Internal Audit Half Year Update including summary audit reports - Adult Safeguarding Counter Fraud Half Year Update Report Audit Committee Half Year Report to Full Council (Draft) - Includes Bristol Energy Internal Audit Quality Assurance and Improvement Plan, Charter and Strategy | Routine Routine Routine Routine | 1.5/1.6/1.7 2.4./2.10 5.1 1.1/1.6 | Chief Internal Auditor Chief Internal Auditor Chief Internal Auditor Chief Internal Auditor |
| 31st January 2022 2:00 PM | External Audit: | External Audit Progress and Final Audit Findings | Routine | 1.8/1.9/3.2 | External Audit Lead |
| | Finance: | Statement of Accounts 2020/21 and AGS | Routine | 3.1 | Director: Finance |
| | Internal Audit: | Internal Audit Update Report including Summary Audit Reports: - Harbour Review | Routine | 1.5/1.6/1.7 | Chief Internal Auditor |
| | Risk Management: | Corporate Risk Report (Q3) Review of Specific Corporate Risk | Routine Routine | 4.1/4.3 4.1/4.3 | Risk and Insurance Manager Risk Manager / Risk Owner |
| 8th March 2022 2:00 PM | External Audit: | External Audit - Audit Plan | Routine | 1.8/1.9/3.2 | External Audit Lead |
| | Finance: | Contract Management System | New | | Strategic Procurement |
| | Internal Audit: | Draft Internal Audit Annual Plan 2022/23 Annual Whistleblowing Review 2021/22 | Routine Routine | 1.2 2.4 | Chief Internal Auditor Chief Internal Auditor |
| | Legal: | Code of Corporate Governance | Routine | 2.6 | Director: Legal& Democratic Services |

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|--------------|------------------|---------------------------------------|--------------------------------|-------------|--------------------------|
| | Corporate: | AGS 2020/21 - Actions Tracking Update | Routine | 2.5/4.4/1.7 | Director: Finance |
| | | External Inspections Update | Routine | 4.4 | Head of Executive Office |