

Draft Assurance Map for CRR9 Safeguarding Vulnerable Children (Based on Risk Register Q3 2018/19)

Risk	Risk Register Rating		Causes	Mitigation and Control		FIRST Line of Defence (management controls)	SECOND Line of Defence	THIRD Line of Defence	External
	Current	Tolerance		Policies and Procedures	Other Controls				
<p>Safeguarding: The Council fails to ensure adequate safeguarding measures are in place, resulting in harm or death to a vulnerable adult or child.</p> <p>Owner: Jacqui Jensen</p>	14	7	<p>Safeguarding arrangements do not meet the requirements of the Children Act and associated legislation, guidance and regulations.</p> <p>Inadequate controls</p> <p>Management and operational practice fail</p> <p>Demand for Services exceeds capacity/capability.</p> <p>Inability to recruit/retain care staff in a competitive market.</p> <p>Poor information sharing</p> <p>Strategic Commissioning arrangements do not meet need and our ability to commission safe care for children is impaired.</p> <p>Increase in complex safeguarding risks, criminal exploitation, serious youth violence and gang affiliation.</p>	<p>Safeguarding Policies and procedures are in place (agreed by Safeguarding Children's Board)</p> <p>Signs of Safety programme (for continual improvement of solutions and inform practice)</p> <p>Partnership wide 'Neglect Strategy' is in place to ensure accurate assessment and timely intervention.</p> <p>Quality of placement is considered as part of statutory review process. Statutory dispute resolution procedure will escalate issues identified.</p> <p>Workforce strategy to ensure social workers are in place as necessary.</p> <p>Safe Recruitment procedures are in place (BCC wide)</p> <p>Information sharing protocols are in place with services taking action to ensure GDPR compliance.</p> <p>Multi-agency approach to criminal exploitation, youth violence and gang affiliation.</p> <p>CSE Strategy</p> <p>Complaints policy and procedure</p> <p>Children's strategic commissioning team have a priority work plan in place and are working to increase placement sufficiency through regional framework arrangements.</p>	<p>Frontline Manager development programme to be delivered in 2019/20.</p> <p>Staff training and development is comprehensive.</p> <p>Monthly professional supervision.</p> <p>Mandatory staff training – council wide</p> <p>Call off arrangements are in place to ensure competent agency social workers where necessary</p> <p>Recruitment of carers and re-profiling of childrens homes.</p> <p>Local Authority Designated Officer (LADO) is in place to deal with allegations against people who work with children.</p> <p>Prevent Duty arrangements are in place.</p> <p>Transformation and Improvement Plan to manage demand (Strengthening Families Programme)</p> <p>Edge of Care Team work to reduce demand.</p> <p>Partnership working to identify victims and perpetrators of CSE and take action to disrupt and protect.</p> <p>Quality checks on commissioned services</p> <p>Multi Agency Public Protection Arrangements (MAPPA) arrangements are in place to support family safeguarding.</p>	<p>Safeguarding and Quality assurance service oversees compliance with safeguarding practice. Areas for improvement include timeliness of assessments and reviews and pathway plans</p> <p>Collaborative case file audits and feedback from families.</p> <p>Performance and Quality Assurance Framework monitors standards.</p> <p>Annual report of IRO to management and oversight boards.</p> <p>Social worker caseload monitoring.</p> <p>Management Team is monitoring social worker vacancies and level of experienced social workers retained by the team.</p> <p>Annual report of the LADO is provided to Senior Management and the Safeguarding Board.</p> <p>Safeguarding Assurance Visits by Commissioning Team</p>	<p>Safeguarding Children Board provides scrutiny of safeguarding arrangements and oversight of 'prevent duty'.</p> <p>Quarterly meetings between Director of Resources and Chair of Safeguarding Childrens Board.</p> <p>Corporate Parenting Panel</p> <p>Youth Justice Partnership Board.</p> <p>Regional Channel Panel led by Home Office.</p> <p>Bi-monthly Childrens Services Improvement and Transformation Board (chaired by LGA advisor). This board to oversee the Ofsted improvement plan.</p> <p>Quarterly assurance reports are considered by Statutory and Policy Board including a self-evaluation of safeguarding.</p> <p>Cabinet Members and Directors receive Safeguarding Performance reports. Q"</p> <p>Scrutiny Commission -receives performance reports which include PI's around safeguarding measures.</p> <p>-monitors Ofsted improvement plans.</p> <p>Risk Manager updates of risk register.</p> <p>Safer Bristol Board has adopted and oversees an improvement plan and also over see's 'prevent' arrangements.</p>	<p>Internal Audit 2017/18 Safer Recruitment Review – Limited assurance. Follow up audit indicates that some progress made but issues still to address. New HR/Payroll system has improved functionality that will assist in further improvement in this area.</p> <p>2017/18 Strengthening Families. Programme management Review – most areas reasonable assurance, benefits realisation areas – limited assurance</p> <p>2018/19 Complaints Management Follow-up identified some non-compliance with complaints tasks and activities. However, low level of complaints. New system and process should address going forward.</p>	<p>Peer Review</p> <p>Ofsted Children's Social Care September 2018 – Requires improvement.</p> <p>Key areas for improvement include Quality and recording of cases and decisions, quality of analysis in assessment and plans, sufficiency of placements and educational progress of children in care.</p> <p>Childrens homes are rated 'good' by Ofsted.</p> <p>Benchmarking. Adoption timeline is above the national average.</p> <p>YOT Inspection 2018 – requires improvement. Key areas include ensuring people get their full entitlement to education, Board oversight to hold services to account, use of views of young people to inform service improvements.</p> <p>CQC</p> <p>Joint area child protection inspection October 2017</p>

Key:

■	Assurance source identifies significant risks
■	Assurance source identifies some risks
■	Assurance source has identified only minimal risks
■	No assessment yet made of effectiveness.