

Negative Risks that offer a threat to delivery of Transport Capital Programme and its Aims (Aim - Reduce Level of Risk)

Ref	Risk Description	Key Causes	Key Consequence	Status Open / Closed	Risk Category	Risk Owner	Key Mitigations	Direction of travel	Current Risk Level			Monetary Impact of Risk £k	Risk Tolerance			Progr ess	Actions to be undertaken			Escalation	Portfolio Flag	Audit Trail										
									Likelihood	Impact	Risk Rating		Likelihood	Impact	Risk Rating		Date	Resp. Officer	Escalated to: DRR/CRR			Escalated by:	Date	Date risk identified	Directorate Flag	Date Risk Closed	Closed by:	Amends / Updates Completed Date:	By:			
																														(Include dates as appropriate)		
1	Insufficient budget allocated to individual schemes or projects	Budget preparation for many of schemes detailed on the Transport Capital Programme not known at the time of budget allocation	Schemes or projects cannot be delivered within budget causing additional pressure elsewhere on the Transport Capital Programme or reduction in number of schemes to be delivered	Open	Programme / Project Management and Reputation	Service Director Economy of Place	Preliminary design work on schemes will be undertaken early in financial year and review taken on the overall Programme Budget within both Transport Service and in consultation with Cabinet Member for Transport and Connectivity	Stable	2	5	10		2	3	6		Transport Programme Team to keep under monthly review, through Highlight Reports, budgets and forecast scheme costs.	Transport Programme Team														
2	Statutory objections to Traffic Regulation Orders required to implement schemes or projects	Public objection to advertised Traffic Regulation Orders e.g. parking restrictions, banned turns, speed limits etc.	Need to formally resolve objections received to the advertised Traffic Regulation Orders	Open	Programme / Project Management and Reputation	Project Manager for Scheme	Informal consultation is undertaken on all schemes with a view to understanding all parties concerns and designs brought forward as a way to mitigate those concerns before formal statutory consultation. In the event that concerns cannot be accommodated and objections are received in response to formal consultation the TRO objection report will clearly state what actions are to be taken in response to all objections. This may include proceeding as advertised, amending the original design or even abandoning the proposals altogether setting aside objections. All TRO objections reports are signed off by the Service Director for Transport after consultation with the Cabinet Member for Transport and Connectivity in line with the city council's constitution and scheme of delegations	Stable	2	5	10		2	3	6		Traffic Orders Team support project managers in the planning and delivery of their schemes and projects. Providing appropriate advice as to how to mitigate concerns around Traffic Regulation Orders identified through informal and formal consultation.	Manager, Traffic Orders Team														
3	Insufficient design resources available to complete designs within programme be it at preliminary, final or contract stage	Not enough design resources available	Schemes cannot be completed and may put at risk budget allocations	Open	Programme / Project Management and Reputation	Project Manager for Scheme	Transport Service is proactively working with Bristol City Council Engineering Design Service to ensure adequate design resources are available to deliver full programme. In addition BCC is able to use Professional Services Framework with Jacobs Consultants for additional design services as required	Stable	2	3	6		1	3	3	Jan-18	Transport Programme Team to keep under monthly review, through Highlight Reports, budgets and forecast scheme costs.	Transport Programme Team														
4	Insufficient contractor resource available to be able to deliver schemes or projects within programme	Not enough contractor resource available	Schemes cannot be completed and may put at risk budget allocations	Open	Programme / Project Management and Reputation	Project Manager for Scheme	Monthly meetings take place with contractors on our existing Contractor Framework to agree programme and resolve issues. Contractor Framework has 15 different Lots covering range of specialities and expertise	Stable	2	5	10		2	3	6		Transport Programme Team to keep under monthly review, through Highlight Reports, budgets and forecast scheme costs.	Transport Programme Team														
5	Statutory Undertakers plant e.g. gas, telecommunications, electric cable and plant is discovered on schemes leading to cost increases and pressures	Unforeseen plant discovered on site or higher specification or type of plant which either needs to be protected or diverted	Increases costs for protection or diversion of existing plant	Open	Programme / Project Management and Reputation	Project Manager for Scheme	Early engagement with Statutory Undertakers and obtaining records/plans of plant	stable	3	5	15		2	3	6		Project Managers and designers need to engage early with Statutory Undertakers	Project Managers														
6	Unforeseen ground conditions leading to increased costs. This isn't necessarily limited to geotechnical conditions but could include removal of drug paraphernalia	Unknown and unforeseen ground conditions	Increased costs resulting from discovery of unforeseen or unknown ground conditions e.g. additional hard dig, removal of drug paraphernalia	Open	Programme / Project Management and Reputation	Project Manager for Scheme	Ensure adequate and appropriate site and ground investigations are carried out	Stable	3	5	15		2	3	6		Project Managers needs to ensure appropriate surveys are carried early in scheme	Project Managers														
7	Increased maintenance liability as consequence of new construction or not addressing existing maintenance liability as part of scheme or project	Failing to identify existing maintenance liabilities early enough in scheme and build in provision to cover new maintenance liabilities	Increased maintenance costs	Open	Service Provision	Transport Programme Team	Ensure as part of Transport Delivery Board review process that asset management and maintenance are adequately addressed	Stable	3	5	15		1	3	3		Maintenance and asset management issues to be adequately addressed as part of evaluation and design process	Transport Programme Team														
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18		No evidence found to date: lack of documentation on duties and that these are being fulfilled. (Verbal confirmation that regular inspections are being undertaken by competent personnel).	Potential closure of railway if ORR found current procedures lacking. H&S incident occurs.	Open																												