

## Appendix 1

### Outcomes of internal investigations 2020/21

Cases closed 1 April 2020 to 31<sup>st</sup> March 2021

#### People Directorate

Service Area	Allegations	Outcome
BCC Care Home	Unauthorised use of a BCC asset by staff.	Practice ceased. No fraud established. Disciplinary proceedings. Dismissed.
Social Services	Inappropriate behaviour and money laundering by an employee	Allegations unsubstantiated.
Children and Family Services	Officer inappropriately authorising transport invoices	Employee had already left BCC. Recoverable overpayment identified.
Public Health	Breach of procurement regulations and sharing confidential information.	Report issued. Disciplinary. Formal warning issued.

#### Growth and Regeneration

Service Area	Allegations	Outcome
Facilities Management	Breach of procurement regulations.	Report issued. Disciplinary proceedings. Employee resigned.
Housing and Landlord Services	Allegation of misconduct by a BCC officer	Allegations unsubstantiated.
Housing and Landlord Services	Allegation of misconduct by a BCC officer	Allegations unsubstantiated.
Facilities Management	Allegation of misconduct by a BCC officer	Allegations unsubstantiated.
Development Management.	Breach of procurement regulations/conflict of interest	Report issued with advice to manage the conflict. No evidence of wrongdoing.
Natural and Marine Environment	Inappropriate payments of furlough funds	Overpayment recoverable. Insufficient evidence of a criminal offence.
Natural and Marine Environment	Bribery/corruption in respect of payments.	Allegations unsubstantiated.
BCC supplier	Falsification of accounts	Allegations unsubstantiated.
Development Management	Corruption in respect of disposal of assets	Allegations unsubstantiated.

## Resources

Service Area	Allegations	Outcome
ICT	Misuse of mobile phone.	Allegations unsubstantiated. Revision of procedures in relation to stock controls.
Finance/HR	2 cases of bank mandate fraud Attempts to redirect monies fraudulently	Correct procedures followed. Reported to Action Fraud.
Legal and Democratic Services	Arrangement for adhoc work to be paid outside of BCC systems.	Report issued. Practice ceased. No fraud established.

## Schools

Allegation	Outcome
Misuse of a procurement card	Employee resigned. Reported to Action Fraud by the school.