

Appendix 2

Whistleblowing cases received and closed from 1/4/20 to 31/3/21

Date Closed	Directorate	Nature of concern	Outcome/Status
2/9/20	Resources	Financial irregularities.	Not considered a Whistleblowing matter – concerns raised to be picked up in an internal audit review.
9/10/20	Growth & Regeneration	Code of conduct issue – possible substance misuse.	Allegations unsubstantiated and advice given.
14/10/20	Resources	Anonymous Allegation - Breach of procurement regulations.	Allegations unsubstantiated.
9/11/20	Growth & Regeneration	Breach of procurement regulations.	Allegations unsubstantiated – control issues identified, and internal audit review commissioned.
18/12/20	Growth & Regeneration	Breaches of code of conduct, possible corruption, and fraud.	Allegations unsubstantiated – control issues identified, and internal audit review commissioned.
3/9/20	Growth and Regeneration	Council officer committing perjury in court.	Allegations unsubstantiated. Management and Whistle-blower notified that no further action.
22/12/20	Growth & Regeneration	Breach of procurement regulations	Allegations unsubstantiated.
15/4/21	People	Breaches of Health & Safety and government guidelines (Covid)	Health and Safety review undertaken – allegations unsubstantiated