

INTERNAL AUDIT PLAN 2021/22 PROGRESS

| Directorate | Name of Review | Status |
|------------------------------------|---|--------------------------------|
| A. Audit and Assurance Plan | | |
| Quarter 1 | | |
| Corporate | Annual Governance Statement 2021 | Draft Report |
| Resources | Accounts Payable | Draft Report |
| G&R | Housing Rents | Completed Limited Assurance |
| G&R | Responsive Repairs | Draft Report |
| Corporate | Procurement | Draft Report |
| Resources | IT Resilience | In Progress |
| Corporate | Covid Recovery | In Progress |
| Corporate | Covid Grants | In Progress |
| Corporate | Assurance Mapping | In Progress |
| People | Direct Payments | In Progress |
| People | Adult Social Care Transformation Programme | In Progress |
| Resources | IT Asset Management | In progress |
| Resources | IT Risk Assessment | In Progress |
| G&R | Capital Projects - Risk Assessment | In Progress |
| Corporate | Management Action Tracking | In Progress |
| People | Risk Review - Serious Youth Violence and Contextual Safeguarding | Scoping |
| Resources | Key Financial Systems Analytics | Scoping |
| G&R | Capital Projects Embedded Assurance | Not started |
| G&R | Climate Change | Not started |
| Corporate | Information Asset Governance | Not started |
| Resources | Digital Transformation Programme Embedded Assurance | Not Started |
| G&R | Housing IT system controls review | Change in scope |
| Quarter 2 | | |
| Corporate | DBS Checking | Scoping |
| Resources | Bristol Register Office | Scoping |
| Corporate | Scheme of Delegation | Scoping |
| Corporate | Covid Lessons Learnt | |
| Corporate | Partnership working - Tracking of Outcomes | |
| Corporate | Capital Projects Embedded Assurance | |
| Corporate | Capital Project Assurance Reviews | |
| Corporate | Value for money | |
| Corporate | Income | |
| Resources | Change Programme | |
| People | Schools Assurance | |
| Resources | Workforce Management | |
| Resources | Financial Management (FM) Code Compliance | |
| Quarter 3 | | |
| Resources | Information Security Management System | |
| People | Safeguarding - Children | |
| Corporate | Health and Safety | |
| Corporate | Covid Risk Assurance | |
| People | Public Health | |
| Resources | Facilities Management | |
| Corporate | Capital Projects Embedded Assurance | |
| Corporate | Capital Project Assurance Reviews | |
| People | Schools Assurance | |
| G&R | Housing Revenue Account Management | |
| People | Budget, Financial Performance and Reporting | |
| Corporate | IT systems | |
| Resources | IT Risk Assurance | |
| Resources | Strategic review of Contract Management and operational delivery. | |
| People | Special Educational Needs and Disability (SEND) | |
| G&R | Follow Up - Temporary Accommodation | |
| Corporate | Follow Up - Response to Ombudsman | |
| G&R | Follow Up - Affordable Housing Grants to Registered Providers | |
| Quarter 4 | | |
| Resources | Annual Review of Whistleblowing | |
| Corporate | Risk Management Annual Review | |
| Resources | Debt Management | |

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| Corporate | Financial Sustainability | |
| G&R | Follow Up - Harbour Office Income | |
| G&R | Follow up - Housing Rents | |
| G&R | Follow up - Responsive Repairs | |
| People | Follow Up - Adult Safeguarding | |
| People | Adult Social Care Commissioning | |
| Corporate | Capital Projects Embedded Assurance | |
| Corporate | Capital Project Assurance Reviews | |
| Resources | IT Risk Assurance | |
| People | Schools Assurance | |
| Corporate | Annual Governance Statement 2122 | |

Not Allocated

| | | |
|-----------|-------------------------------|--|
| Resources | Group of Companies Governance | |
| | | |

B. Grant and Other Certifications Completed to Date

| | | | Value £ |
|-----------|---|----------|---------------------|
| People | HIV PrEP Grant | Complete | £116,808 |
| G&R | Pothole Action Fund | Complete | £2,640,890 |
| People | HOLIDAY ACTIVITIES AND FOOD PROGRAMME 2021 | Complete | £189,870 |
| G&R | Scambuster and NTS Funding for Regional Intelligence Functions grants | Complete | £87,100 |
| G&R | Lead Enforcement Authority Grant 2020/21 | Complete | £182,544 |
| People | LOCAL AUTHORITY COVID-19 TEST AND TRACE PROJECT EAGLE | Complete | £11,880 |
| G&R | LGF AM A4018 Corridor Improvements | Complete | £97,039 |
| G&R | TRAVEL DEMAND MANAGEMENT GRANT | Complete | £26,313 |
| G&R | Open Programmable City Region (OPCR) | Complete | £451,767 |
| G&R | LGF AK Sustainable Transport Package 17/18 | Complete | £63,029 |
| People | 2020/21 Youth Justice Grant | Complete | £609,976 |
| Corporate | SFVS / School Planning | Complete | - |
| | | | 4,477,215.95 |