

Appendix 1

Updated Internal Audit Plan and Priorities 2021/22 as at November 2021			
Audit Plan Q1 and Q2			
Directorate	Name of Review	Status	Audit Assurance Rating
Corporate	Procurement	Complete	Reasonable
Corporate	Covid Lessons Learnt	Complete	Reasonable
Corporate	Covid Recovery	Complete	Reasonable
Corporate	Covid Grants	Complete	Reasonable
Resources	IT Asset Management	Complete	Reasonable
Resources	Accounts Payable	Complete	Reasonable
Resources	Change Programme - Phase 1	Complete	Reasonable
Corporate	Management Action Tracking	Complete	Reasonable
G&R	Housing Stock - Rent Collection and Arrears Recovery	Complete	Limited
G&R	Responsive Repairs	Complete	Limited
Resources	IT Resilience	Complete	Limited
Corporate	Assurance Mapping - Phase 1	Complete	N/A
Corporate	Assurance Mapping - Phase 2	Complete	N/A
G&R	Capital Projects Assurance	Complete	N/A
People	School Audit Planning	Complete	N/A
Resources	IT Risk Assessment	Complete	N/A
Corporate	Annual Governance Statement 2021	Complete	N/A
Corporate	Income	Draft Report	
Resources	Workforce Management	Draft Report	
Resources	Bristol Register Office	Draft Report	
Resources	Strategic Review of Contract Management and Operational Delivery	Draft Report	
People	Direct Payments	Reporting	
Resources	Change Programme - Phase 2	Reporting	
Corporate	DBS Checking	Fieldwork	
Corporate	Information Asset Governance	Fieldwork	
G&R	Climate Change	Fieldwork	
People	Risk Review - Serious Youth Violence and Contextual Safeguarding	Fieldwork	
Corporate	Scheme of Delegation	Planning	
People	Public Health	Planning	
Corporate	Ombudsman Follow Up	Scoping	
Audit Plan Q3 and Q4			
Corporate	Health and Safety	Scoping	
G&R	Harbour - Follow up	Scoping	
G&R	Homelessness Follow Up	Scoping	

G&R	Follow-Up Affordable Housing Supply/Grants to Registered Housing providers	Scoping	
People	Schools Audit	Scoping	
People	Safeguarding - Children	Scoping	
Resources	Follow-up Manager Health and Safety Self Assessment (Chasms)	Scoping	
Resources	Financial Management (FM) Code	Scoping	
Resources	Key Financial Systems Analytics	Scoping	
People	Adult Social Care Transformation Programme	Planning	
Resources	Digital Transformation Programme Embedded Assurance - to include ITTP Governance	Planning	
Resources	Facilities Management	Planning	
Corporate	IT Systems (Legacy Systems and Sub Systems)		
Corporate	Financial Sustainability		
Corporate	Risk Management Annual Review		
Corporate	Covid Risk Assurance		
Corporate	Annual Governance Statement 2122		
G&R	Embedded assurance on Housing and Landlord Services IT project		
G&R	Housing Revenue Account Management		
G&R	Capital Projects Governance		
G&R	Capital Projects Embedded Assurance		
G&R	Follow-Up Capital Portfolio Governance		
People	Budget, Financial Performance and Reporting		
People	Special Educational Needs and Disability (SEND)		
People	Adult Social Care Commissioning		
People	Follow-up Adult Safeguarding Processes		
Resources	Information Security Management System		
Resources	IT Risk Assurance		
Resources	Follow-up Telecoms Contract		
Resources	Annual Review of Whistleblowing		
Resources	ITTP Follow-Up		

Grants and Other Certifications

Directorate	Name of Review	Status	Value
G&R	Open Programmable City Region (OPCR)	Complete	£451,767
G&R	LGF AM A4018 Corridor Improvements	Complete	£97,039
G&R	LGF AK Sustainable Transport Package 17/18	Complete	£63,029
G&R	Travel Demand Management Grant	Complete	£26,313
G&R	Lead Enforcement Authority Grant 2020/21	Complete	£182,544
G&R	NTS Funding for Regional Intelligence Team SWERCOTS	Complete	£87,100
G&R	NTS Funding for Regional Intelligence Functions SWERCOT	Complete	£336,558
G&R	Pothole action fund	Complete	£2,640,890
G&R	Highways and Transport Capital Grant	Complete	£6,527,000
G&R	Feasibility Study -Bedminster High Street Improvement	Complete	£99,217
G&R	South Bristol Enterprise Support Grant	Complete	£401,133
G&R	Temple Meads Masterplan FEAS F -Grant	Complete	£578,885
G&R	2020/21 the Disabled Facilities Capital Grant	Complete	£3,528,349
People	2020/21 Youth Justice Grant	Complete	£609,976
People	Holiday Activities and Food Programme 2021	Complete	£189,870
People	HIV PrEP Grant	Complete	£116,808
People	Community Testing Funding	Complete	£473,967
People	Local Authority Covid-19 Test and Trace Project Eagle	Complete	£11,880
People	Employment Support Innovation Pilot Grant (ESIP aka Future Bright)	Complete	£511,636
People	Future Bright Plus Grant	Complete	£55,291
Resources	RIF: South Bristol SUD ERDF Intermediate Body	Complete	£66,678
Resources	RIF N South Bristol SUD	Complete	£80,756
Corporate	Schools Financial Value Standard	Complete	-
	Total		£17,136,686