

Audit Committee Action Sheet – actions from meeting held on 27 September 2021

| Action number | Item/report | Action | Responsible officer(s) | Action taken / progress |
|-----------------------|---|--|------------------------|--|
| 7 (from June meeting) | 17 – External Inspections of Council Services | Answer questions on SEN (5.9), milestones in (5.11) and children’s homes (7) | Ben Mosley | <p>89% of WSoA Milestones achieved in July 2021 Those not yet achieved underway and delay been agreed by the SEND improvement Board</p> <p>DfE feedback throughout the duration of the WSoA has been positive about progress made and the way partners are working together: “Despite the disruption caused by Covid-19 restrictions (with the first lockdown coinciding with the approval of the WSoA), the local area continues to make good progress in implementing improvements to the local SEND system”</p> <p>Strengths highlighted in July monitoring meeting: Strength of partnership Strength of leadership Much stronger emphasis on performance Good understanding of ourselves Parents: moved from being defensive to reaching out to parents Acknowledged and dealing with all issues</p> <p>Further updates can be provided by Alison Hurley and Ann James. Requested 12/11. Once received, will be circulated to members via email.</p> |

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| 1 | 8 - Report concerning Governance Arrangements for Bristol Energy | Following discussion by the Committee concerning the need for a note in the revised accounts to confirm the extent of the loss similar to what was set out in the Grant Thornton report. The Service Manager (Finance) indicated that this would be done when the accounts were signed off as part of a balance sheet event. Action: Denise Murray | Denise Murray | The accounts are a work in progress and this action will be incorporated into the final set of accounts. |
| 2 | 8 - Report concerning Governance Arrangements for Bristol Energy | It was agreed that a mechanism was required to ensure that confidential decisions could be monitored through the Audit. | Oliver Harrison | Referred to the Monitoring Officer for advice |
| 3 | 11 – Assurance on the Monitoring Oversight of Capital Delivery | Looking at Appendix 2, a feedback loop was required to Resources Scrutiny or to Audit Committee | Glenn Hammons | This action relates to a future audit committee rather than the next one. The expectation is that the update would form part of internal and external audit reports. With regards to scrutiny, they have been kept informed as part of the implementation of the governance improvements and capital strategy work as part of the budget setting process. |
| 4 | 12 – Internal Audit Activity Report - Including Summary Audit Reports | In situations where items on the IT Risk Register remained amber for 3 or 4 periods, they should be upgraded to red. Can cyber security issues be resolved to include these? Officers would investigate this and report back. | Tim O’Gara | Completed 13/10/21 |

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| 5 | 12 – Internal Audit Activity Report - Including Summary Audit Reports | The date of completion had not been provided in relation to question 7. Officers would follow up and answer this. | Tim O’Gara | Completed 13/10/21 |
| 6 | 12 – Internal Audit Activity Report - Including Summary Audit Reports | Please can answers provided for each question be attributed to each officer. | Oliver Harrison | Officers can be named on Public Forum if they are Head of Service or Director level. Lower-level officer contact details can be given to members upon request. |