

# People Scrutiny Commission



7 March 2022

**Report of:** Risk and Insurance Senior Officer

**Title:** People Risks on the Corporate Risk Report – Q3 2021/22

**Ward:** Citywide

## Recommendation

For the People Scrutiny Commission to note the attached corporate risks to the People directorate extracted from Q3 2021/22 Corporate Risk Report that went to Cabinet on 18<sup>th</sup> January 2022.



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## 1. Context

### Corporate Risk Register (CRR)

1. The Corporate Risk Report (CRR) is a key document in the council's approach to the management of risk; it captures strategic risks set out in the Corporate Strategy 2018-2023. It also provides a context through which Directorates construct their own high-level risk assessments and is used to inform decision making about business planning, budget setting, transformation and service delivery.
2. The CRR provides assurance to management and Members that Bristol City Council's significant risks have been identified and arrangements are in place to manage those risks within the tolerance levels agreed. It should be noted that 'risk' by definition includes both threats and opportunities, which is reflected in the CRR.
3. The Accounts and Audit Regulations 2015 require the council to have in place effective arrangements for the management of risk. These arrangements are reviewed each year and reported as part of the Annual Governance Statement (AGS). Ensuring that the Service Risk Registers (SRR), Directorate Risk Reports (DRR) and the Corporate Risk Reports (CRR) are soundly based will help the council to ensure it is anticipating and managing key risks to optimise the achievement of the council's objectives and prioritise actions for managing those risks.
4. Ensuring that the Service Risk Registers (SRR), Directorate Risk Reports (DRR) and the Corporate Risk Reports (CRR) are soundly based will help the council to ensure it is anticipating and managing key risks to optimise the achievement of the council's objectives and prioritise actions for managing those risks.
5. The registers and reports are a management tool. They need regular review to ensure that the occurrence of obstacles or events that may put individual's safety at harm, impact upon service delivery and the council's reputation are minimised, opportunities are maximised and when risks happen, they are managed and communicated to minimise the impact.
6. The CRR summary of risks is attached to this report at Appendix A is the latest position following a review by managers and Directors.

### Corporate Risk Report - Summary of People Corporate Risks:

7. Scrutiny Members are asked to note the CRR as a working summary report of the critical and significant risks from the People Service Risk Registers as at December 2021.
8. The CRR sets out the critical, significant and high rated risks both threats and opportunities. All other business risks reside on the Service Risk Registers and reported through the DRRs.
9. Members of the EDM's reviewed the DRRs in December 2021 to form the CRR. Scrutiny Members are asked to accept the attached CRR as a working summary report of the critical and significant risks to the People directorate from the Service Risk Registers.
10. The CRR sets out the significant critical and high rated risks both threats and opportunities. All other business risks reside on the Service Risk Registers and reported through the Directorate Risk Reports and the Corporate Risk Report.

11. The Q3 21-22 Corporate Risk Report (CRR) as at 23<sup>rd</sup> December 2021 contained the following risks for the People directorate:

Threat Risks	Opportunity Risks	External / Contingency Risks
<ul style="list-style-type: none"> <li>• 0 critical</li> <li>• 4 high</li> <li>• 2 medium</li> <li>• 1 new</li> <li>• 1 improving</li> <li>• 0 deteriorating</li> <li>• 0 de-escalating</li> <li>• 0 closed</li> </ul>	<ul style="list-style-type: none"> <li>• 0 significant</li> <li>• 0 high</li> <li>• 0 medium</li> <li>• 0 new</li> <li>• 0 improving</li> <li>• 0 deteriorating</li> <li>• 0 de-escalating</li> <li>• 0 closed</li> </ul>	<ul style="list-style-type: none"> <li>• 0 critical</li> <li>• high</li> <li>• 0 medium</li> <li>• 1 new</li> <li>• 0 improving</li> <li>• 0 deteriorating</li> <li>• 0 de-escalating</li> <li>• closed</li> </ul>

12. A summary of risks (Threat and Opportunities) for this reporting period are set out below.

13. There are no critical threat risks.

14. There is one new threat risks:

- CRR45 - Failure to deliver statutory duty in respect of the safeguarding of Children. The risk rating being 3x3(9) medium threat risk. This is managed on the People Service Risk Registers. This is a newly identified risk for this quarter.

15. There is one improving threat risks:

- CRR9 - Safeguarding Vulnerable Children. The risk rating being 3x7 (21) high threat risk improving from a 4x7 (28) critical threat risk level. This risk is managed on the People Service Risk Registers.

16. There are no deteriorating threat risks.

17. There is one new external threat risk:

- BCCC4 Covid-19 Population Health. The risk rating being 3x5 (15) high threat risk. This risk is managed on the People Service Risk Registers.

18. All risks on the CRR have management actions in place.

19. It is not possible to eliminate the potential of failure entirely without significant financial and social costs. The challenge is to make every reasonable effort to mitigate and manage risks effectively, and where failure occurs, to learn and improve.

## 2. Proposal

- Scrutiny receive and note the Risk Management update.
- Scrutiny review and comment upon the Corporate Risk Report (CRR) as a source of assurance that risk management arrangements are in place.

## 3. Other Options Considered

3.1. None necessary. Having robust risk management processes in place is a requirement of the City Council. The CRR has been developed in line with the Risk Management Assurance Policy.

## 4. Risk Assessment

4.1. The Risk Management Assurance Policy and the CRR will further develop risk management assessment within the City Council, and help the management of risk arrangements embed.

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## **5. Summary of Equalities Impact of the Proposed Decision**

No Equality Impact anticipated from this report.

## **6. Legal and Resource Implications**

### **Legal**

Not Applicable

### **Financial**

Not Applicable

### **Land**

Not Applicable

### **Personnel**

Not Applicable

### **Appendices:**

Appendix A - Corporate Risk Report Resource Risks Only

## **LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985**

### **Background Papers:**

Risk Management Assurance Policy.