

**Appendix A**

Internal Audit Work - Period 1st April 2021 - 31st March 2022		
Current Status and Results of Audit Review		
Directorate	Name of Review	Audit Assurance Rating
G&R	Follow-Up Affordable Housing Supply/Grants to Registered Housing providers	Substantial
Corporate	Procurement	Reasonable
Corporate	Risk Management 2020/21 Follow Up.	Reasonable Progress
Corporate	Covid Lessons Learnt	Reasonable
Corporate	Covid Recovery	Reasonable
Corporate	Covid Grants	Reasonable
Corporate	Management Action Tracking	Reasonable
Corporate	Income	Reasonable
Corporate	Ombudsman Follow Up	Reasonable
Corporate	DBS Checking	Reasonable
Resources	IT Asset Management	Reasonable
Resources	Digital Transformation Programme Governance	Reasonable
Resources	Accounts Payable	Reasonable
Resources	Change Projects - Project Management - Audit 1	Reasonable
Resources	Change Projects - Project Management - Audit 2	Reasonable
Resources	Workforce Management	Reasonable
Resources	Bristol Register Office	Reasonable
Resources	Strategic Review of Contract Management and Operational Delivery	Reasonable
Resources	Financial Management (FM) Code - Progress with Self Assessment Watching Brief. (Independent verification of self assessment not included)	Reasonable Progress
People	Risk Review - Serious Youth Violence and Contextual Safeguarding	Reasonable*
People	Public Health	Reasonable*
People	Safeguarding - Children	Reasonable*
G&R	Harbour Review - Follow up	Reasonable Progress
G&R	Housing Stock - Rent Collection and Arrears Recovery	Limited
G&R	Responsive Repairs	Limited
Resources	IT Resilience	Limited
Corporate	Information Asset (Ownership) Governance	Limited
People	Direct Payments	Limited
Corporate	Risk Management Annual Review including Covid Risk Assurance	Limited
Corporate	Conflicts of Interest, Gifts and Hospitality - Counter Fraud Review	Limited*
Resources	ITTP Follow-Up	Limited*

People	Special Educational Needs and Disability (SEND)	Limited*
Corporate	Assurance Mapping - Phase 2	N/A
G&R	Capital Projects Assurance	N/A
People	School Audit Planning	N/A
Resources	IT Risk Assessment	N/A
Corporate	Annual Governance Statement 20/21	N/A
G&R	Climate Change	N/A
Resources	Information Security Management System	N/A
Resources	Annual Review of Whistleblowing	N/A
Corporate	Annual Governance Statement 21/22	N/A
G&R	Embedded assurance on Housing and Landlord Services IT project	N/A
G&R	Capital Projects Embedded Assurance	N/A
G&R	Follow-Up Capital Portfolio Governance	N/A
People	Adult Social Care Commissioning	N/A
People	Advisory - Education from fraud at a school	N/A
People	Advisory - Asset Transfer Process Due Diligence	N/A
Resources	Digital Transformation Programme Embedded Assurance	N/A
People	Adult Social Care Transformation Programme	N/A
Resources	Follow-up Telecoms Contract	N/A
People	Schools Audit (12)	Draft Reports
Resources	IT Governance	Draft Report
G&R	Housing Revenue Account Management	Drafting Report
Corporate	Health and Safety including follow up re Manager Health and Safety Self Assessment (CHaSMs)	Drafting Report
People	Follow-up Adult Safeguarding Processes	Drafting Report
Corporate	Scheme of Delegation	Fieldwork
G&R	Homelessness Follow Up	Carried Forward
Resources	Key Financial Systems Analytics	Carried Forward
People	Budget, Financial Performance and Reporting	Carried Forward

\* Draft Reports

## Grants and Other Certifications

Directorate	Name of Review	Value
G&R	Open Programmable City Region (OPCR)	£451,767
G&R	LGF AM A4018 Corridor Improvements	£97,039
G&R	LGF AK Sustainable Transport Package 17/18	£63,029
G&R	Travel Demand Management Grant	£26,313
G&R	Lead Enforcement Authority Grant 2020/21	£182,544
G&R	NTS Funding for Regional Intelligence Team SWERCOTS	£87,100
G&R	NTS Funding for Regional Intelligence Functions SWERCOT	£336,558
G&R	Pothole action fund	£2,640,890
G&R	Highways and Transport Capital Grant	£6,527,000
G&R	Feasibility Study -Bedminster High Street Improvement	£99,217
G&R	South Bristol Enterprise Support Grant	£401,133
G&R	Temple Meads Masterplan FEAS F -Grant	£578,885

G&R	2020/21 the Disabled Facilities Capital Grant	£3,528,349
G&R	Temple Quarter Enterprise Zone Programme Team EDF - 0	£703,276
G&R	Hawkfield Studios	£5,633,422
G&R	South Bristol Light Industrial Workspace	£97,587
People	2020/21 Youth Justice Grant	£609,976
People	Holiday Activities and Food Programme 2021	£189,870
People	HIV PrEP Grant	£116,808
People	Community Testing Funding	£473,967
People	Local Authority Covid-19 Test and Trace Project Eagle	£11,880
People	Employment Support Innovation Pilot Grant (ESIP aka Future Bright)	£511,636
People	Future Bright Plus Grant	£55,291
People	Rough Sleeping Accommodation Programme RSAP: [No. 31/5824]	£730,610
People	Protect and Vaccinate	£914,198
Resources	RIF: South Bristol SUD ERDF Intermediate Body	£66,678
Resources	RIF N South Bristol SUD	£80,756
Corporate	Schools Financial Value Standard (SFVS) 20/21	Nil
Corporate	Schools Financial Value Standard (SFVS) 21/22	Nil
	<b>Total</b>	<b>£25,215,779</b>