

Appendix B - Assurance Map

Corporate Risks as at Q4 2020-21	Current Net Risk score Taken from CRR	First Line of Defence			Second Line of Defence			Third Line of Defence				Target risk score Taken from CRR
		Business operations "Management Controls"	Processes /Management Reviews	Mgmt Info & Data	Other :Self-Assessment	Compliance / Quality	Committee / Board	Risk Management	Internal Audit, External Audit and other independent assurance providers	Regulatory Body	Internal Audit	
<i>CRR5: Business Continuity (BC) and Councils Service Resilience</i>	High	H	M	M	L	M	M	N/A	M	M	N/A	Medium
<i>CRR12: Failure to deliver suitable emergency planning measures and respond to and manage emergency events when they occur</i>	High	H	H	None	M	M	M	N/A	M	H	N/A	Medium
<i>CRR15: In-Year Financial Deficit</i>	High	H	M	H	M	M	M	N/A	H	M	M	Medium
<i>CRR25: Suitability of Line of Business (LOB) systems. (The Councils reliance on legacy systems)</i>	High	L	None	M	M	M	M	N/A	M	None	N/A	Medium
<i>CRR27: Capital Transport Programme Delivery</i>	Medium	M	H	M	H	M	M	M	H	M	N/A	Medium
<i>CRR29: Information Security Management System</i>	High	M	M	L	H	H	M	H	H	M	N/A	Medium
<i>CRR35: Organisational Resilience</i>	High	H	H	M	H	M	M	H	M	M	N/A	Medium
<i>CRR42: Provision of Leisure Services</i>	High	H	H	None	H	M	M	N/A	M	M	N/A	Medium