

Appendix 1

Internal Audit Work - Period 1st April 2022 -30th September 2022			
Directorate	Name of Review	Status	Audit Assurance Rating
Corporate	Annual Governance Statement 2021/22	Complete	N/A
Resources	IT Governance *	Complete	Limited Assurance
Resources	IT Transformation Programme Follow Up *	Complete	Limited Assurance
Resources	Cyber Security Follow Up	Complete	N/A
Resources	Cloud Resilience	Complete	Limited Assurance
Resources	IT Resilience Follow Up	Complete	N/A
Corporate	Health and Safety Follow Up re Manager Health and Safety Self Assessment (CHaSMs)*	Complete	N/A
G&R	Housing Revenue Account Management *	Complete	Reasonable Assurance
People	Follow-up Adult Safeguarding Processes *	Complete	Reasonable Assurance
People	Children's Safeguarding - Performance Monitoring and Social Worker Recruitment*	Complete	Reasonable Assurance
People	Schools Assurance Programme 2021/22* (10 Schools)	Complete	6 x Reasonable Assurance 4x Limited Assurance
Corporate	Scheme of Delegation	Draft	
G&R	Homelessness (Requested)	Draft	
G&R	Housing Rent	Draft	
People	Adult Social Care Budget Management Structures and Processes	Drafting	
People	Placement sufficiency for Children in Care	Drafting	
Resources	Core Systems Access Controls	Drafting	
G&R	Flood Risk Management	Field Work	
Corporate	Capital Spend - Requested	Field Work	
Resources	Financial Management Code Self Assessment Verification	On Hold	
Corporate	Supply Chain and Third Party Risk	Scoping	
Resources	Debt Management	Scoping	
Resources	NDR Collection	Scoping	
People	Integrated Care Management System	Scoping	
People	Schools Assurance Programme 2022/23	Scoping	
Corporate	Contract Management	Scoping	
People	Adult Social Care Transformation - Embedded Assurance	On-going	
G&R	Housing IT Transformation Programme - Embedded Assurance	On-going	
Resources	Group Company Governance - Embedded Assurance	On going	
Resources	Digital Transformation Programme - Embedded Assurance	On going	
Resources	Information Governance Board - Embedded Assurance	On -going	
Resources	Covid Grants Assurance	Ongoing	
Resources	Continuous Audit and Continuous Monitoring	Ongoing	

* (c/f from 2021/22)

Grants and Other Certifications			
Directorate	Name of Review	Status	Value £
People	Protect & Vaccinate Grant	Complete	480,985
G&R	Hawkfield Business Park - The Bottle Yard Studios	Complete	2,706,350
G&R	Bristol City Centre and High Streets Recovery and Renewal Grant	Complete	219,627
G&R	Feasibility Study: Bristol City Council – Bedminster High Street Improvement	Complete	167,828
G&R	High Street Renewal Catalyst Fund	Complete	96,365
People	We Work For Everyone	Complete	896,280
G&R	City Centre DDP and Frome Gateway 2022/23	Complete	235,000
G&R	Western Harbour Place Shaping Vision FEAS DC 2022/23	Complete	155,000
G&R	South Bristol Enterprise Support	Complete	532,253
People	Youth Justice Grant	Complete	661,417
G&R	Scambuster and NTS Funding for Regional Intelligence Functions Grants	Complete	382,260
G&R	NTS Sub-Grant for NTS Funding for Regional Intelligence Functions SWERCOTS 2021/22	Complete	89,221
People	Future Bright Programme Grant	Complete	503,878
G&R	Lead Enforcement Authority Grant 2021/22	Complete	194,830
People	Contain Outbreak Management Fund grant	Complete	18,160,267
People	Local Authority Practical Support Payment Scheme Funding Grant	Complete	763,500
G&R	A4018 Corridor Improvements Grant	Complete	83,268
Resources	TTSP Grant	Complete	4,273,792
People	Adult Weight Management Services Grant	Complete	189,982
People	WECA Data Resourcing Project 2022/23	Complete	30,000
G&R	Pothole Action Fund Grant 20221/22	Complete	1,889,953
G&R	Digging for Delft grant	Complete	42,451
People	CEC Employer Engagement Enterprise Project Grant	Complete	48,570
G&R	WECA Highways Capital Maintenance	Complete	2,626,475.00
G&R	WECA Highways Incentive	Complete	656,712.00
G&R	Integrated Transport	Complete	1,940,419.00
G&R	OLEV Round 2 ULEV Taxi Infrastructure	Complete	336,276.00
People	Reboot West	Complete	106,176.00
		Total	38,469,135