

Appendix D Risk Register

Negative Risks that offer a threat to procurement of an asset management software system and its Aims (Aim - Reduce Level of Risk)

| Ref | Risk Description | Key Causes | Key Consequence | Status Open / Closed | Strategic Theme | Risk Category | Risk Owner | Key Mitigations | Direction of travel | Current Risk Level | | | Monetary Impact of Risk £k | Risk Tolerance | | | |
|-----|---|--|--|----------------------------|--------------------|---|--|---|------------------------|--------------------|--------|----------------|-------------------------------------|----------------|--------|----------------|------|
| | | | | | | | | | | Likelihood | Impact | Risk Rating | | Likelihood | Impact | Risk Rating | Date |
| 1 | Failure to procure/extend asset management software system will result in the transport service not being able to meet statutory duties | Cabinet decide not to agree to report recommendation | For transport service this would mean council's statutory register and coordination record of works under NRSWA and would cease to work as of 31st January 2025. This would seriously limit the council's ability to manage the road network. This would inevitably lead to increased congestion on the network with ensuing negative implications for residents, businesses, visitors and the local economy | Open | | Service Provision Financial loss or gain Reputation | Patsy Mellor - Director Management of Place | The council would have to revert to paper system until replacement asset software system was procured which is estimated to take minimum of 12-18 months minimum in the event a different software supplier is selected | | 2 | 5 | 10 | | | | 0 | |
| 2 | Failure to procure/extend asset management software system will impact negatively on Highways Maintenance works programme | Cabinet decide not to agree to report recommendation | Current software provider, Confirm, is used to manage our Term and Framework contracts for maintenance and inspection. The Council would have to revert to paper system requiring reallocation of manpower resulting in higher risk of increased insurance claims and/or slowdown in delivery of capital works programme | Open | | Service Provision Financial loss or gain Reputation | Patsy Mellor - Director Management of Place | The council would have to revert to paper system until replacement asset software system was procured which is estimated to take minimum of 12-18 months minimum in the event a different software supplier is selected | | 2 | 5 | 10 | | | | 0 | |
| 3 | Failure to procure/extend asset management system will impact on asset management strategy and planning | Cabinet decide not to agree to report recommendation | Asset Management planning is a corporate priority so this would create a gap in our knowledge of assets and their condition | Open | | Service Provision Financial loss or gain Reputation | Patsy Mellor - Director Management of Place | Historic asset data would not be available to make informed strategic decisions | | 2 | 4 | 8 | | | | 0 | |
| 4 | Failure to procure/extend asset management software system will negatively impact on the increased efficiency potential of the docks repair and maintenance programme that has only been digitised into the current software system, Confirm, in 2023 | Cabinet decide not to agree to report recommendation | We would not be able to more effectively address the maintenance and inspection backlog in the docks which would affect the performance of the harbour estate to maintain infrastructure and services | Open | | Service Provision Financial loss or gain Reputation | Patsy Mellor - Director Management of Place | The docks department would continue to use paper records and officer knowledge to monitor the maintenance works and the condition of assets around the harbour | | 2 | 5 | 10 | | | | 0 | |
| 6 | Failure to procure/extend asset management software system will impact negatively on parks service works programme | Cabinet decide not to agree to report recommendation | Current software provider, Confirm, is used for H&S inspections of footpaths and trees, to manage the term contract for tree management, in-house grounds maintenance teams and repairs of footpaths and other infrastructure. The council would have to revert to paper system requiring additional staff, poor financial information relating to cost of required work. Slowdown in delivery of grounds maintenance service delivery for parks, highway verges and housing land resulting in higher risk of increased insurance claims | Open | | Service Provision Financial loss or gain Reputation | Patsy Mellor - Director Management of Place | The council would have to revert to paper system until replacement asset software system was procured which is estimated to take minimum of 12-18 months minimum in the event a different software supplier is selected | | 2 | 5 | 10 | | | | 0 | |